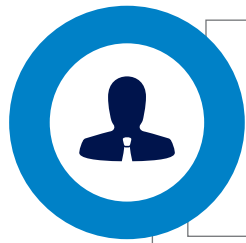


# LPL FINANCIAL RETIREMENT PARTNERS FACTS AND FIGURES<sup>1</sup>



**8,500+**  
advisors with plans

**39,000+** plans

AUA **\$195B**



**40**

Home Office employees  
dedicated to Retirement  
Partners



LPL plan advisors are  
represented in all 50 states.

## LPL-AFFILIATED ADVISORS HAVE BEEN RECOGNIZED FOR THE FOLLOWING:



- NAPA Top Retirement Plan Advisors Under Forty (a.k.a. “Aces”)<sup>2</sup>
- NAPA Top Women Advisors<sup>3</sup>
- NAPA Top DC Advisor Teams<sup>4</sup>
- Barron’s Top 100 Institutional Consulting Teams<sup>5</sup>
- PLANADVISERS of the Year<sup>6</sup>

## LPL CORPORATE SNAPSHOT

As of March 31, 2022

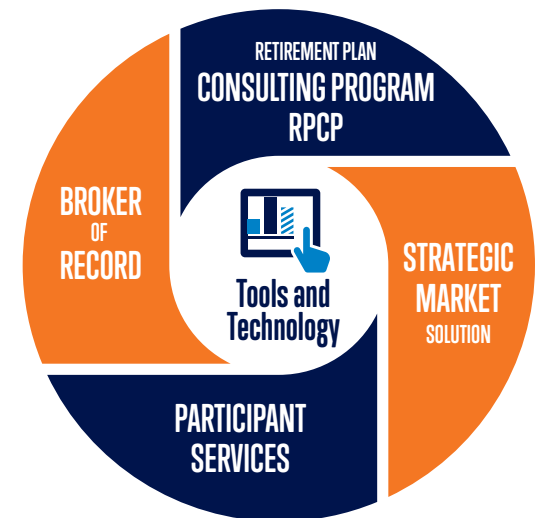
Advisory & Brokerage Assets (\$ billions) .....	<b>\$1.16T</b>
Advisory Assets (\$ billions) .....	<b>\$624B</b>
Financial Professionals .....	<b>20,091</b>
Employees .....	<b>6,051</b>



- LPL is the nation’s largest independent broker dealer

Based on total revenues, Financial Planning magazine June 1996-2021.

## CORE PRODUCTS



<sup>1</sup> All data as of 05/2022 except as noted.

<sup>2</sup> <https://www.napa-net.org/2022-aces-top-100-retirement-plan-advisors-under-40>

<sup>3</sup> <https://www.napa-net.org/industry-intel/accolades/top-women-advisors>

<sup>4</sup> <https://www.napa-net.org/industry-intel/industry-lists/top-dc-advisor-teams-2021>

<sup>5</sup> <https://www.barrons.com/advisor/report/top-financial-advisors/institutional>

<sup>6</sup> <https://www.planadviser.com/awards/2022-retirement-plan-adviser-year/>

Securities and advisory services offered through LPL Financial (LPL), a registered investment advisor and broker-dealer (member FINRA/SIPC). Insurance products are offered through LPL or its licensed affiliates. To the extent you are receiving investment advice from a separately registered independent investment advisor that is not an LPL Financial affiliate, please note LPL Financial makes no representation with respect to such entity.

**LPL Financial**

Member FINRA/SIPC.  
RP-415-0522 Tracking #1-05282470

Not Insured by FDIC/NCUA or Any  
Other Government Agency

Not Bank/Credit  
Union Guaranteed

Not Bank/Credit Union  
Deposits or Obligations

May Lose Value